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| <b>COURSE CODE:</b> 1CM2010312  | <b>COURSE TITLE:</b> SECURITY ANALYSIS AND PORTFOLIO MANAGEMENT |
| <b>SEMESTER-3, COURSE TYPE : SPECIALIZATION</b>   |   |
| <b>COURSE OBJECTIVE:</b> To make aware the students with various investments avenues available and to make them competent for decision making regarding investment by comparative analysis of various investment avenues (options). |   |

| Teaching Scheme (Hours per week) |        | Evaluation Scheme (Marks) |                          |       |
|----------------------------------|--------|---------------------------|--------------------------|-------|
| Lectures                         | Credit | University Assessment     | Institutional Assessment | Total |
| 4                                | 4      | 60                        | 40                       | 100   |

| Unit | Topic and Contents   | Hours | Wt. (%) |
|------|--|-------|---------|
| 1.   | <b>(A) Investments</b><br>Nature and Scope of investment analysis, elements of investment, avenues of investment, approaches of investment analysis;   | 15    | 25%     |
|      | <b>(B) Financial Assets</b><br>Types and their characteristics, sources of financial Information.  |       |         |
| 2.   | <b>(A) Risk and Return Analysis</b><br>Risk and Return of A single assets, Risk and Return of portfolio<br>Portfolio Selection<br>Capital asset Pricing Model(CAPM)<br>(Theory and Practical examples)                                 | 15    | 25%     |
|      | <b>(B) Security Market In India</b><br>Primary and secondary market; Primary market-role, function and methods of selling securities in primary Market allotment procedure; new financial Instruments<br>(Theory Only)                 |       |         |
| 3.   | <b>(A) Primary Market</b><br>SEBI guidelines on public issue, size of issue, pricing of issue, promoters' contribution, appointment of merchant bankers, underwriters, broker, register and managers, Bankers and allotment of shares. | 15    | 25%     |
|      | <b>(B) Secondary Market</b><br>Role importance, type of brokers, trading mechanism, listing of securities in stock exchanges, screen based Trading; Depository - role and need; Depositors Act, 1966.                                  |       |         |

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| <b>4.</b> | <p><b>(A) Fundamental Analysis:</b><br/>Economic Analysis, Industry Analysis and Company Analysis,</p> <p><b>(B) Technical Analysis:</b><br/>Trends, Indicators, Indices and Moving Averages applied in technical analysis. (Practical Examples)</p> | 15 | 25% |
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**References Books:**

1. Amling: Fundamentals of investment Analysis, Prentice Hall, International Edition.
2. Bhalla : Investment Analysis, S. Chand & Co. Delhi.
3. Chandra Prasanna, Security Analysis and Portfolio Management, Tata Mc Graw hill Publishing, New Delhi
4. Chandratre, K.R: Capital Issue, SEBI & Listing, Bharat Publishing House, New Delhi.
5. Donal, E.Fisher and Ronald J. Jordan: Security Analysis and port folio Management, prentice Hall, New1 Delhi.
6. Fabozzi, Frank J: Investment Management, prentice Hall, International Edition.
7. Gupta, L.C: Stock Exchange Trading in India; Society for capital Market Research and Development, Delhi.
8. Machi Raju, H.R: Merchant Banking; Willey Eastern Ltd., New1 Delhi
9. Patel D. G. : ‘Security Analysis and Port folio Management’, SudhirPrakashan, Ahmedabad